

02-17-04

Docket No. 6900/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventors: Michael R. Rice, Robert B. Lowrance, Martin R. Elliott, Jeffrey C. Hudgens and Eric A. Enghardt
Title: SYSTEM FOR TRANSPORTING SUBSTRATE CARRIERS
Serial No.: 10/650,310
Filed: August 28, 2003

Transmitted herewith is:

- ☒ Information Disclosure Statement
☒ PTO Form 1449 with fifty-six cited references
☒ Return Postcards

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

— The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:

**PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O. BOX 450A
Santa Clara, CA. 95052**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EL975550332US

Date of Deposit: 2/13/04

Signature: [Signature]

Respectfully submitted,

[Signature]
Brian M. Dugan
Registration No. 41,720
(914) 332-9081



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PATENTS
6900/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice, Robert B. Lowrance, Martin
R. Elliott, Jeffrey C. Hudgens and Eric A.
Englhardt

Serial No. : 10/650,310

Filed : August 28, 2003

For : SYSTEM FOR TRANSPORTING SUBSTRATE CARRIERS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 3,710,923, Fromme et al.

U.S. Patent No. 3,734,263, Dirks

U.S. Patent No. 3,815,723, Wright et al.

U.S. Patent No. 3,901,376, Dardaine et al.

U.S. Patent No. 3,990,569, Aiuola

U.S. Patent No. 4,029,194, Feurstein et al.

U.S. Patent No. 4,033,403, Seaton et al.

U.S. Patent No. 4,040,302, Katarao

U.S. Patent No. 4,044,886, Sender
U.S. Patent No. 4,261,236, Verjux
U.S. Patent No. 4,266,652, Bald
U.S. Patent No. 4,340,137, Foster
U.S. Patent No. 4,450,950, Foote, Jr.
U.S. Patent No. 4,506,779, Seragnoli
U.S. Patent No. 4,524,858, Maxey
U.S. Patent No. 4,549,647, Cosse
U.S. Patent No. 4,585,126, Paddock et al.
U.S. Patent No. 4,603,770, Hartness
U.S. Patent No. 4,667,809, Raybuck
U.S. Patent No. 4,680,919, Hirama et al.
U.S. Patent No. 4,693,359, Mattei et al.
U.S. Patent No. 4,759,439, Hartlepp
U.S. Patent No. 4,765,453, Bucher
U.S. Patent No. 4,813,528, Hartlepp
U.S. Patent No. 4,830,180, Ferguson et al.
U.S. Patent No. 4,850,102, Hironaka et al.
U.S. Patent No. 4,854,440, Laube et al.
U.S. Patent No. 4,869,637, deGroot
U.S. Patent No. 4,898,373, Newsome
U.S. Patent No. 5,086,909, Powell, Jr.
U.S. Patent No. 5,099,896, Ritola
U.S. Patent No. 5,113,992, Sadamori

U.S. Patent No. 5,123,518, Pfaff

U.S. Patent No. 3,710,921, Petiet

U.S. Patent No. 3,722,656, Loomis, Jr. et al.

U.S. Patent No. 5,135,102, Sjogren et al.

U.S. Patent No. 5,184,712, Leybold et al.

U.S. Patent No. 5,667,056, Kimmet

U.S. Patent No. 5,207,309, Simpkin et al.

U.S. Patent No. 5,226,211, Jones

U.S. Patent No. 5,231,926, Williams et al.

U.S. Patent No. 5,269,119, Tolson

U.S. Patent No. 5,275,275, Boldrini et al.

U.S. Patent No. 5,439,091, Mason

U.S. Patent No. 5,558,198, Juarez

U.S. Patent No. 5,617,994, McTaggart

U.S. Patent No. 5,628,614, Pazdernik et al.

U.S. Patent No. 5,563,327, Buday, Jr. et al.

U.S. Patent No. 5,823,319, Resnik et al.

U.S. Patent No. 6,092,979, Miselli

U.S. Patent No. 6,223,887 B1, Andou

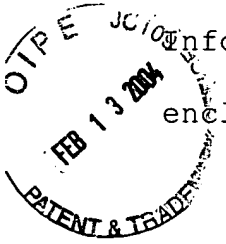
U.S. Patent No. 6,227,345 B1, Miyamoto

U.S. Patent No. 6,435,331 B1, Olson et al.

U.S. Patent No. 6,511,065 B1, Cote et al.

U.S. Patent No. 6,581,750 B1, Tweedy et al.

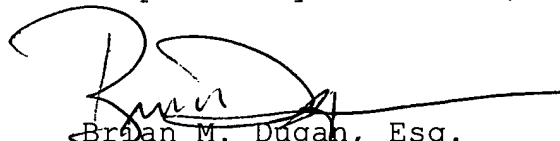
Foreign Art Reference No. JP 63020151 A2 (Japan)



These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449) and copies are enclosed.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,


Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914) 332-9081

Dated:

2/13/04
Tarrytown, New York

Serial No.:
10/650,310

Filing Date:
08/28/03

Group:

(Use several sheets if necessary)

U.S. Patent Documents

Foreign Patent Documents

Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
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O I P E
FEB 13 2004
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RECEIVED

U.S. Department of Commerce, Patent and Trademark Office	Docket No.: 6900/SYNX/JB	Serial No.: 10/650,310
	Applicants: Michael Robert Rice, et al	
	Filing Date: August 28, 2003	Group: _____

U.S. Patent Documents							
*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4,340,137	07/20/82	Foster			
	AB	4,450,950	05/29/84	Foote, Jr.			
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	AL							
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

Department of Commerce, Patent and Trademark Office LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary)	Docket No.: 6900/SYNX/JB	Serial No.: 10/650,310
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	AG	4,898,373	02/06/90	Newsome			
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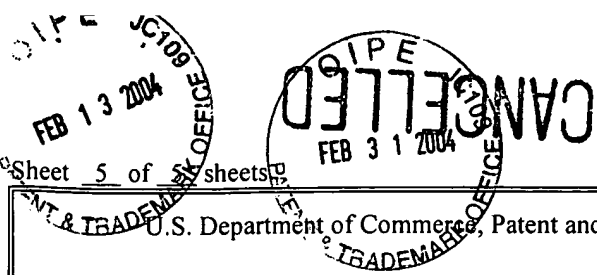
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	AG	5,226,211	07/13/93	Jones			
	AH	5,231,926	08/03/93	Williams et al.			
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	AJ	5,275,275	01/04/94	Boldrini et al.			
	AK	5,439,091	08/08/95	Mason			

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	AG	6,223,887 B1	05/01/01	Andou			
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	AJ	6,511,065 B1	01/28/03	Cote et al.			
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